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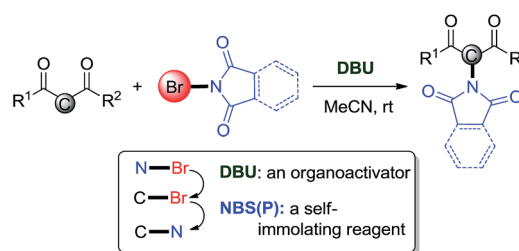
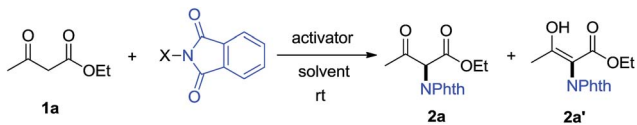
# Direct $\alpha$ -C-H amination of $\beta$ -dicarbonyl compounds using DBU-activated *N*-haloimides as nitrogen sources†

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By DBU activation, *N*-haloimides may act as a self-immolating reagent, to convert  $\beta$ -dicarbonyl compounds into  $\alpha$ -imidated products in high efficiency. The DBU/*N*-haloimide protocol provides a novel, mild, and complimentary access to  $\alpha$ -imidated  $\beta$ -dicarbonyl compounds, without the necessity of external nitrogen sources.

$\alpha$ -Amido  $\beta$ -dicarbonyl compounds are versatile organic intermediates in the synthesis of various heterocyclic compounds,<sup>1</sup> peptide mimetics,<sup>2</sup>  $\alpha$ -amino acids and their derivatives,<sup>3</sup> and  $\beta$ -hydroxyl  $\alpha$ -amino esters.<sup>4</sup> Classical methods for the synthesis of  $\alpha$ -amido  $\beta$ -dicarbonyl compounds include the strong base-mediated acylation of the ketimine derivatives of  $\alpha$ -amino esters<sup>2</sup> and *N*-C acyl migration of the *N*-*tert*-butoxycarbonyl-*N*-acylglycine ester;<sup>5</sup> the reduction of  $\alpha$ -hydroxyimino<sup>6</sup> and phenylazo<sup>7</sup>  $\beta$ -dicarbonyl compounds; the hydrolysis of oxazole-4-carboxylate derivatives;<sup>8</sup> and *N*-H insertion of metal carbenes.<sup>9</sup> However, these methods are limited by the utilization of a strong base, substrate availability, and multistep procedures. Direct  $\alpha$ -amination of the readily available  $\beta$ -dicarbonyl compounds is more convenient and highly desirable for the synthesis of  $\alpha$ -amido  $\beta$ -dicarbonyl compounds. Most common methods involve electrophilic amination of  $\beta$ -dicarbonyl compounds to azodicarboxylates<sup>10</sup> and the *N*-selective nitroso aldol reaction.<sup>11</sup> Despite the significant advances made in the area, new and efficient methods, especially direct  $\alpha$ -amination methods, are still required.

Recently, hypervalent iodine(III)-mediated  $\alpha$ -amination of  $\beta$ -dicarbonyl compounds have been developed.<sup>12</sup> Zhang and co-workers reported a mild and efficient method for the direct and fast  $\alpha$ -amination of  $\beta$ -dicarbonyl compounds using PhIO as the oxidant and TsNH<sub>2</sub> as the aminating reagent catalyzed by

Scheme 1  $\alpha$ -C-H imidation of  $\beta$ -dicarbonyl compounds by using DBU-activated *N*-haloimides.Table 1 Optimization of the reaction conditions<sup>a</sup>


Entry	<i>N</i> -Haloimide	Activator	Solvent <sup>b</sup>	Time (min)	Yield <sup>c</sup> (%)
1	NBP	—	MeCN	20	45 <sup>d</sup>
2	NBP	PPh <sub>3</sub>	MeCN	180	n.d.
3	NBP	DMAP	MeCN	240	n.d.
4	NBP	DABCO	MeCN	60	Trace
5	NBP	DBU	MeCN	20	89
6	NBP	DBN	MeCN	30	85
7	NBP	MTBD	MeCN	7	90
8	NBP	DBU	DCE	20	85
9	NBP	DBU	THF	20	79
10	NBP	DBU	DMF	20	64
11	NBP	DBU	Toluene	240	60
12	NCP	DBU	MeCN	360	30

<sup>a</sup> Reactions were carried out with **1a** (1.0 mmol), NBP (1.5 equiv.), and activator (1.8 equiv.) in solvent (2.0 mL) at room temperature.

<sup>b</sup> Solvent was used directly as received. <sup>c</sup> Isolated yield. <sup>d</sup>  $\alpha$ -Bromonated product and  $\alpha,\alpha$ -dibromonated product were obtained in respective 45% and 42% yields. MTBD = 7-methyl-1,5,7-triazabicyclo [4.4.0]dec-5-ene.

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perchlorate zinc hexahydrate.<sup>12a</sup> Chan *et al.* described a copper(II) triflate catalyzed amination of 1,3-dicarbonyl compounds with PhI=NTs.<sup>12b</sup> In our research on halogen-mediated organic transformations,<sup>13</sup> we disclosed that DBU-activated NBS (*N*-bromosuccinimide) or NBP (*N*-bromophthalimide) *via* a halogen bond interaction can be utilized as an efficient halogenation reagent,<sup>13a</sup> amination reagent<sup>13b-d</sup> and haloamination reagent.<sup>13e</sup> Herein, we would like to communicate the direct  $\alpha$ -C-H imidation of  $\beta$ -dicarbonyl compounds by using DBU-activated *N*-haloimides as both electrophilic and nucleophilic reagents (Scheme 1). The *N*-haloimide/DBU combination provides a convenient and economic protocol toward  $\alpha$ -C-H imidation of  $\beta$ -dicarbonyl compounds. The distinct feature of

the reaction is without the necessity of external nitrogen sources.

Initially, the model reaction of ethyl acetoacetate (**1a**) with NBP was examined under a variety of conditions (Table 1). In the absence of any activator, the reaction of **1a** and NBP (1.5 equiv.) in MeCN at room temperature gave  $\alpha$ -brominated and  $\alpha,\alpha$ -dibrominated products in respective 45% and 42% yields (entry 1). By the utilization of PPh<sub>3</sub> or DMAP as the activator, no imidated product was observed (entries 2–3). DABCO afforded only trace amount of the target molecule. However, once DBU was introduced, the reaction proceeded rapidly, and 2-(1,3-dioxoisindolin-2-yl)-3-oxobutanoate (**2a**) and ethyl 2-(1,3-dioxoisindolin-2-yl)-3-hydroxybut-2-enoate (**2a'**) were obtained in 89% yield within 20 min (entry 4). Amidine activator DBN and

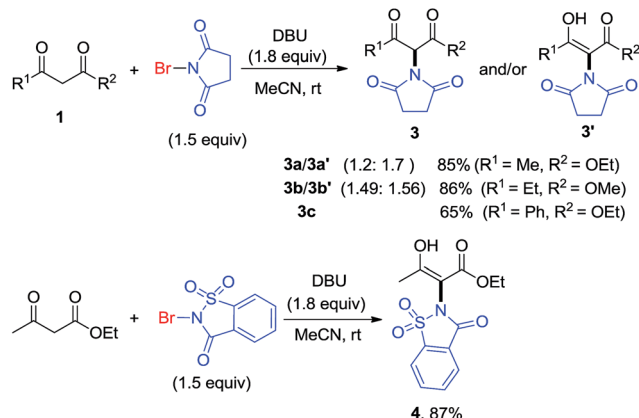
Table 2  $\alpha$ -Imidation of  $\beta$ -dicarbonyl compounds<sup>a</sup>

Entry	Substrate	Product	Time (min)	Yield <sup>b</sup> (%)
1		 	20	89
		(1: 4.3)		
2		 	25	88
		(1: 3)		
3		 	180	83
		(1.3: 1)		
4		 	25	91
		(1: 1.7)		
5			25	83
6			20	84
7			30	90
8 <sup>c</sup>			30	92

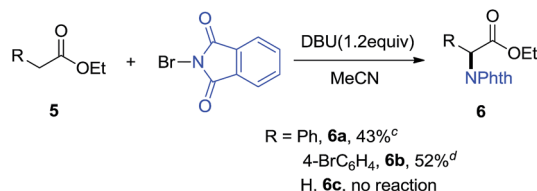
<sup>a</sup> Reactions were carried out with **1** (1.0 mmol), NBP (1.5 equiv.), and DBU (1.8 equiv.) in MeCN (2.0 mL) at rt. <sup>b</sup> Isolated yield. <sup>c</sup> Reactions were conducted with NBP (1.05 equiv.), and DBU (1.05 equiv.).

guanidine activator MTBD worked well (entries 5 and 6).<sup>14</sup> Solvent screening indicated that DCE, THF, DMF and toluene led to decreased yields (entries 8–11). The reactivity of NCP was evaluated and the reaction became sluggish, along with a fairly low yield (entry 12).

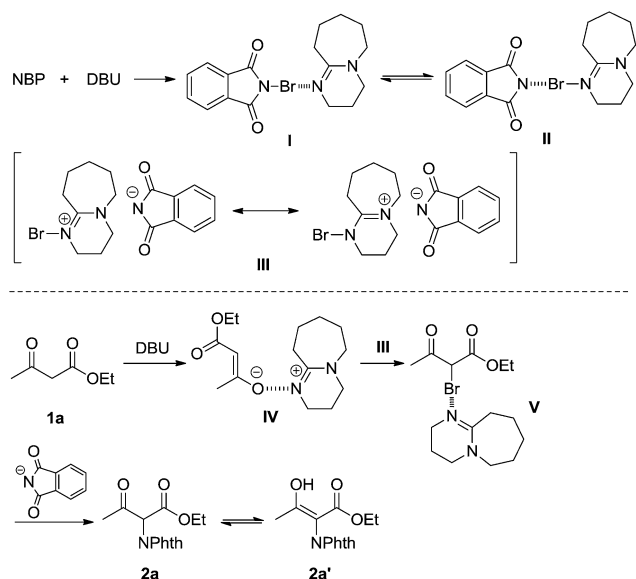
With optimized reaction conditions in hand (Table 1, entry 5), we investigated this methodology with a range of  $\beta$ -



Scheme 2 Reactions of  $\beta$ -keto esters with *N*-bromoimides.



Scheme 3  $\alpha$ -Imidation of esters.<sup>a,b</sup> Reactions were carried out with 1 (1.0 mmol), NBP (1.2 equiv.), and DBU (1.2 equiv.) in MeCN (2.0 mL).<sup>b</sup> Isolated yield. <sup>c</sup> At rt for 5 h. <sup>d</sup> At 80 °C for 2 h.



Scheme 4 Proposed mechanism for the  $\alpha$ -imidation.

dicarbonyl compounds (Table 2).  $\beta$ -Keto esters **1a–c** afforded the corresponding  $\alpha$ -imidation products **2a/2a'–2c(2c')** in high yields (entries 1–3). Two  $\beta$ -ketoamides, *N*-methyl-3-oxo-*N*-phenylbutanamide and *N,N*-dimethyl-3-oxobutanamide were also examined.  $\alpha$ -Imidation products **2d/2d'** and **2e** (in complete keto form) were obtained in 91% and 85% yield, respectively (entries 4 and 5). Reaction with  $\beta$ -diketone **1f** proceeded smoothly, furnishing  $\alpha$ -imidated product **2f** (in complete enol form) in 84% yield (entry 6). For malonic esters **1g** and **1h**, the corresponding  $\alpha$ -imidated products **2g** and **2h** were obtained in excellent yields (entries 7 and 8).<sup>15</sup>

Next, we investigated the efficacy of other *N*-haloimides as potential self-immolating nitrogen sources<sup>16</sup> (Scheme 2). Similar to NBP, NBS was also a competent reagent, affording products **3a/3a'**, **3b/3b'** and **3c** in 65–85% yields.<sup>17</sup> When *N*-bromosaccharin was subjected to the reaction sequence, the corresponding  $\alpha$ -imidated compound **4** was produced in 87% yield (in complete enol form). All the above reactions indicate the high efficiency and broad scope for both  $\beta$ -dicarbonyl compounds and *N*-haloimides.

Other than  $\beta$ -dicarbonyl compounds,  $\alpha$ -substituted ethyl acetates were also examined (Scheme 3). As a result, the  $\alpha$ -imidation of esters was achieved and products **6a** (R = phenyl) and **6b** (R = 4-bromophenyl) were obtained in 43% and 52% yields, respectively. However, no reaction occurred in the case of using ethyl acetate as the substrate.

On the basis of all the results described above, along with our previous work,<sup>13a–e</sup> a possible mechanism for the  $\alpha$ -imidation of  $\beta$ -dicarbonyl compounds was proposed in Scheme 4. On one hand, NBP reacts with DBU to form a 1 : 1 adducts **I** and **II** *via* halogen bond interaction, which may further transform into tight ion pair intermediate **III** with enhanced electrophilic and nucleophilic ability.<sup>18</sup> On the other hand, enolate **IV** is produced in the presence of DBU. The reaction between enolate **IV** and activated bromide intermediate **III** furnishes  $\alpha$ -bromo  $\beta$ -dicarbonyl **V**.<sup>19</sup> Finally, imidated product **2a** and **2a'** is formed *via* nucleophilic substitution of **V** by the phthalimide anion.<sup>20</sup> The function of DBU is to deprotonate  $\beta$ -dicarbonyl compounds (as base) and to activate NBP (as a nucleophilic promoter).

## Conclusions

In summary, we have developed a highly efficient, atom-economic, environmentally friendly and metal-free methodology for direct  $\alpha$ -imidation of 1,3-dicarbonyl compounds with *N*-haloimide (activated by DBU) as potential direct amination reagent at ambient temperature. The scope of the reaction is broad in terms of both the *N*-haloimides and the  $\beta$ -dicarbonyl compounds. Thus, without the necessity of external nitrogen sources, a variety of important imidation products were prepared rapidly in moderate to excellent yields. The self-immolating property of *N*-haloimides induced by halogen bonding activation appears to be attractive. Further research on the utilization of *N*-haloimide/DBU protocol in organic transformations is ongoing in our laboratory.

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- For a recent nice example of using *N*-succinimidyl perester as a self-immolating imidation reagent, see: (a) K. Foo, E. Sella, I. Thomé, M. D. Eastgate and P. S. Baran, *J. Am. Chem. Soc.*, 2014, **136**, 5279; For Shabat's self-immolative polymer, see: (b) A. Sagi, R. Weinstein, N. Karton and D. Shabat, *J. Am. Chem. Soc.*, 2008, **130**, 5434.
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